

1131 U.S. PTO  
10/10/01

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Express Mail No. EL 893834681US

Docket No. 23623-7060

10/10/01  
10971 US PRO  
09/975139

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Volker SCHEFFENBERGER, Donald P. NAKI, Thomas B. MORRISON  
Serial No.: Unassigned  
Filing Date: October 10, 2001  
Title: Information Rich Libraries

UTILITY PATENT APPLICATION & FEE TRANSMITTAL  
(for nonprovisional applications under 37 CFR § 1.53(b))

Commissioner for Patents  
**BOX PATENT APPLICATION**  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing in connection with the above-identified patent application are the following:

<b>I. Elements of the Application</b>			
<p><input checked="" type="checkbox"/> Application [ 1 ] page(s) of Title Page [ 0 ] page(s) of Cover Sheet [ 56 ] page(s) of Specification [ 4 ] page(s) of Claims (31 claims) [ 1 ] page(s) of Abstract [ 2 ] sheets of Drawings [ ] Formal [ X ] Informal</p>			
<p><input checked="" type="checkbox"/> Declaration and Power of Attorney [ ] page(s) [ X ] Unexecuted [ ] Executed [ ] Copy from prior application (37 CFR § 1.63(d))</p>			
<p><input type="checkbox"/> Deletion of Inventors: [ ] page(s) of signed statement deleting inventor(s) (37 CFR § 1.63(d) &amp; 1.33(h))</p>			
<p><input type="checkbox"/> Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)</p>			
<p><input type="checkbox"/> Nucleotide and or Amino Acid Sequence Submission: [ ] page(s) of Sequence Listing (paper copy) [ ] disk(s) containing Sequence Listing (computer readable copy) [ ] page(s) of Statement Under 37 CFR 1.821(f)</p>			
<p><input type="checkbox"/> Microfiche Computer Program Appendix</p>			
<b>II. Claim for U.S. Priority</b>			
<p><input type="checkbox"/> This application is a <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-Part of Prior Application No. _____ filed _____. (35 USC §120)</p>			
<p><input checked="" type="checkbox"/> This application claims the benefit under 35 U.S.C. §119(e) of United States Application Serial No. <u>60/239,476</u> filed <u>October 10, 2000</u>. (<i>Provisional Application</i>)</p>			
<p><input checked="" type="checkbox"/> The entire disclosure of the prior application referenced above, is considered as being part of the application filed herewith and is herein incorporated by reference in its entirety.</p>			
<b>III. Claim for Foreign Priority</b>			
<p><input type="checkbox"/> This application claims the benefit under 35 USC §119 of Prior Application No. _____ filed _____.</p>			
<p><input type="checkbox"/> Certified Copy of Priority Document [ ] page(s)</p>			
<p><input type="checkbox"/> English Translation of Priority Document [ ] page(s)</p>			

**IV. Accompanying Documents**

- Assignment Papers [ ] page(s) of Recordation Cover Sheet [ ] page(s) of Assignment
- Applicant(s) claims Small Entity Under 37 CFR § 1.27 [ ] A Verified Small Entity Statement was previously filed in Prior Application No. \_\_\_\_\_ on \_\_\_\_\_
- Information Disclosure Statement [ ] page(s) of PTO 1449 [ ] copies of IDS References
- A Preliminary Amendment [ ] page(s)
- A copy of a Petition for Extension of Time ( mos.) filed simultaneously in Prior Application No. \_\_\_\_\_
- A copy of a Submission of Processing & Retention Fee (37 CFR § 1.78(a)(1) which is being filed simultaneously in Prior Application No. \_\_\_\_\_
- Other (specify) \_\_\_\_\_
- Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

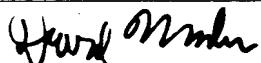
**V. Fee Calculation**

CALCULATIONS				
<input checked="" type="checkbox"/>	The following fees are submitted:			
		OTHER THAN SMALL ENTITY	SMALL ENTITY	\$
<b>BASIC NATIONAL FILING FEE</b>		\$ 740.00	\$ 370.00	<b>\$740</b>
<b>EXTRA CLAIMS FEE</b>				
<b>CLAIMS</b>	<b># FILED</b>	<b># EXTRA</b>	<b>RATE</b>	<b>RATE</b>
Total Claims	31 - 20 =	11	× \$18.00	× \$ 9.00
Independent claims	5 - 3 =	2	× \$84.00	× \$42.00
<b>MULTIPLE DEPENDENT CLAIM(S)</b>				
<input type="checkbox"/> Yes <input type="checkbox"/> No		\$280.00	\$140.00	\$
Fee for recordation of the enclosed assignment (37 CFR 1.21(h), 3.28, 3.31).		(Numbers of Applications) × \$40.00		\$
<b>OTHER FEES</b> (specify)				\$
<b>OTHER FEES</b> (specify)				\$
		<b>TOTAL FEES =</b>		\$

- a.  A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.
- b.  Please charge Deposit Account No. 50-1189, Docket No. 23623-7060, in the amount of \$\_\_\_\_\_ to cover the above fees. *A duplicate copy of this sheet is enclosed.*
- c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1189, Docket No. 23623-7060.  
*A duplicate copy of this sheet is enclosed*

SEND ALL CORRESPONDENCE TO:

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SIGNATURE   
 DATE October 10, 2001  
 NAME David W. Maher  
 REGISTRATION NUMBER 40,077